

FORM 101 (REV. 10/01)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
2005_0168ATRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371U.S. APPLICATION NO.
(If known, use 37 CFR 1.5)
NEW

10/523531

International Application No.
PCT/JP03/10054International Filing Date
August 7, 2003Priority Date Claimed
August 8, 2002Title of Invention
FUSED HETEROCYCLIC COMPOUNDS AS PEPTIDASE INHIBITORSApplicant(s) For DO/EO/US
Satoru OI, Hironobu MAEZAKI, Koji IKEDOU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). **ATTACHMENT A**
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An executed oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). **ATTACHMENT B**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. **ATTACHMENT C**
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
ATTACHMENT D
13. ☒ A **FIRST** preliminary amendment. **ATTACHMENT E**
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ Other items or information:

NEVER IS AUTHORIZED
BY DEFICIENCY IN THE
THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

COPY

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO. 10/523,531	FIRST NAMED APPLICANT Satoru Hironobu OI	ATTY. DOCKET NO. 2005_0168A
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INTERNATIONAL APPLICATION NO. PCT/JP03/10054

LA. FILING DATE 08/07/2003	PRIORITY DATE 08/08/2002
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000513

WENDEROTH, LIND & PONACK, L.L.P.
2033 K STREET N. W.
SUITE 800
WASHINGTON, DC 20006-1021

CONFIRMATION NO. 3706

371 FORMALITIES LETTER

OC000000017595825

Date Mailed: 12/21/2005

Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/07/2005
- Copy of the International Search Report filed on 02/07/2005
- Copy of IPE Report filed on 02/07/2005
- Preliminary Amendments filed on 02/07/2005
- Information Disclosure Statements filed on 02/07/2005
- Oath or Declaration filed on 02/07/2005
- Request for Immediate Examination filed on 02/07/2005
- Copy of references cited in ISR filed on 02/07/2005
- U.S. Basic National Fees filed on 02/07/2005
- Assignment filed on 02/07/2005
- Specification filed on 02/07/2005
- Claims filed on 02/07/2005
- Abstracts filed on 02/07/2005

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DEC 27 2005
WENDEROTH, LIND & PONACK

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$100 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$850 for a Large Entity:

- Total additional claim fee(s) for this application is \$ 100

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

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Satoru OI et al.

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Mail Stop: PCT

Serial No. NEW

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Attorney Docket No. 2005_0168A

Filed February 7, 2005

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FUSED HETEROCYCLIC COMPOUNDS AS PEPTIDASE INHIBITORS

[Corresponding to PCT/JP03/10054

Filed August 7, 2003]

PRELIMINARY AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Prior to calculating the filing fee, please amend the above-identified application as follows:

ATTACHMENT E

COPY